

# Post-PRK corneal ectasia: a case report and literature review

## Ectasia corneana pós-PRK: um relato de caso e revisão da literatura

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### KEYWORDS:

Corneal ectasia; Photorefractive keratectomy; Refractive surgery; Corneal tomography; Cross-linking.

### ABSTRACT

This report describes a case of unilateral corneal ectasia after photorefractive keratectomy, with no preoperative evidence of ectatic disease. A 33-year-old male patient underwent bilateral photorefractive keratectomy. Preoperative corneal tomography (CT) showed normal parameters and a low ectasia-risk score, despite slight asymmetry on the anterior surface of the left cornea. Three years after the procedure, he developed ectasia in his left eye. CT revealed inferior steepening, increased posterior elevation, and corneal thinning, suggestive of ectasia. The patient was treated with corneal cross-linking, and his condition stabilized. This report highlights the importance of careful preoperative assessment and long-term follow-up, even in photorefractive keratectomy candidates whose preoperative examinations show no signs of corneal ectasia.

### PALAVRAS-CHAVE:

Ectasia da córnea; Ceratectomia fotorrefrativa; Cirurgia refrativa; Topografia da córnea; Reticulação.

### RESUMO

Relatar um caso de ectasia corneana unilateral após ceratectomia fotorrefrativa, sem evidência de ectasia corneana no pré-operatório. Paciente masculino, 33 anos, submetido à ceratectomia fotorrefrativa bilateral. A tomografia pré-operatória apresentava parâmetros normais e escore de risco para ectasia baixo, apesar de discreta assimetria na superfície anterior da córnea esquerda. Três anos após o procedimento, desenvolveu ectasia no olho esquerdo. A tomografia revelou encurvamento inferior, aumento da elevação posterior e afinamento corneano, compatíveis com ectasia. O paciente foi tratado com crosslinking corneano, com estabilização do quadro. Este relato destaca a importância de uma avaliação pré-operatória criteriosa e do seguimento a longo prazo, mesmo em candidatos à ceratectomia fotorrefrativa com exames pré-operatórios sem evidência de ectasia corneana.

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## INTRODUCTION

Corneal ectasia is an uncommon but serious complication of refractive surgery. This condition occurs more frequently after laser-assisted *in situ* keratomileusis (LASIK)<sup>1-4</sup>, with an incidence 4.5 times higher in eyes without preoperative risk factors compared with photorefractive keratectomy (PRK)<sup>5</sup>. However, cases of post-PRK ectasia have been reported in the literature<sup>1,6-10</sup>, and although the risk factors have not yet been fully elucidated, preoperative tomographic abnormalities and a thin cornea may contribute to its development<sup>2</sup>.

The literature on post-PRK corneal ectasia is limited, with only a few reports available. In this case report, we present a patient with a tomographic pattern of asymmetrical astigmatism that progressed to unilateral ectasia 3 years after PRK.

## CASE REPORT

A 33-year-old male patient who had been followed since 2017 and had no family history of keratoconus or signs of the disease on slit-lamp biomicroscopy attended a routine appointment in January 2020, stating that he wished to undergo surgical correction of his refractive error. The refraction results are shown in Table 1.

In preoperative examinations, corneal tomography (CT, Pentacam) was performed, and a tomographic map of the cornea was obtained. In the right eye, the elevation values of the anterior and posterior surfaces were within the normal range. In the left eye, asymmetry was observed on the anterior surface, whereas the posterior surface remained within normal parameters.

Analysis of the sagittal map of the anterior corneal surface showed the presence of with-the-rule astigmatism in both eyes. The simulated keratometry values for the anterior corneal surface were 41.2/42.1 diopters (D) in the right eye and 40.9/43.00 D in the left eye.

The maximum corneal curvature (Kmax) was 42.3 D in the right eye, with a central corneal thickness (CCT) of 529  $\mu$ m and a thinnest point (TP) of 527  $\mu$ m. In the left eye, Kmax was 43.5 D, with a CCT of 528  $\mu$ m and a TP of 523  $\mu$ m (Figure 1).

Analysis using the Belin/Ambrosio Enhanced Ectasia Display (BAD) system showed values of 0.41 in the right eye and 1.17 in the left eye. No high-risk values were identified in either cornea. Additionally, the anterior and posterior corneal elevations were within the normal range in both eyes, with no evident abnormalities (Figure 2).

The patient underwent PRK with mitomycin application using the Alcon Wavelight EX500 laser in August 2020. The procedure was performed with an optical zone diameter of 6.5 mm in both eyes. The total ablation depth, including the epithelium, was 11.25  $\mu$ m in the right eye and 30  $\mu$ m in the left eye.

The surgery was uneventful intraoperatively, and the patient's condition progressed satisfactorily during the postoperative period with no complications. The patient continued regular follow-up appointments over the years, with no significant changes. In June 2023, he presented for a routine examination with no visual complaints. Refraction remained stable, with visual acuity of 20/20 in both eyes and no evidence of visual decline.

However, during the skiascopy examination, a scissor reflex was observed, suggesting irregular astigmatism. Given this finding, additional tests were requested for further investigation.

CT showed signs of corneal ectasia in the left eye, including focal steepening in the inferior region of the cornea, evident on the axial curvature (anterior sagittal) map as a localized increase in corneal curvature. Kmax reached 45.6 D, contrasting with flatter areas in the superior region, thereby suggesting a characteristic asymmetrical pattern.

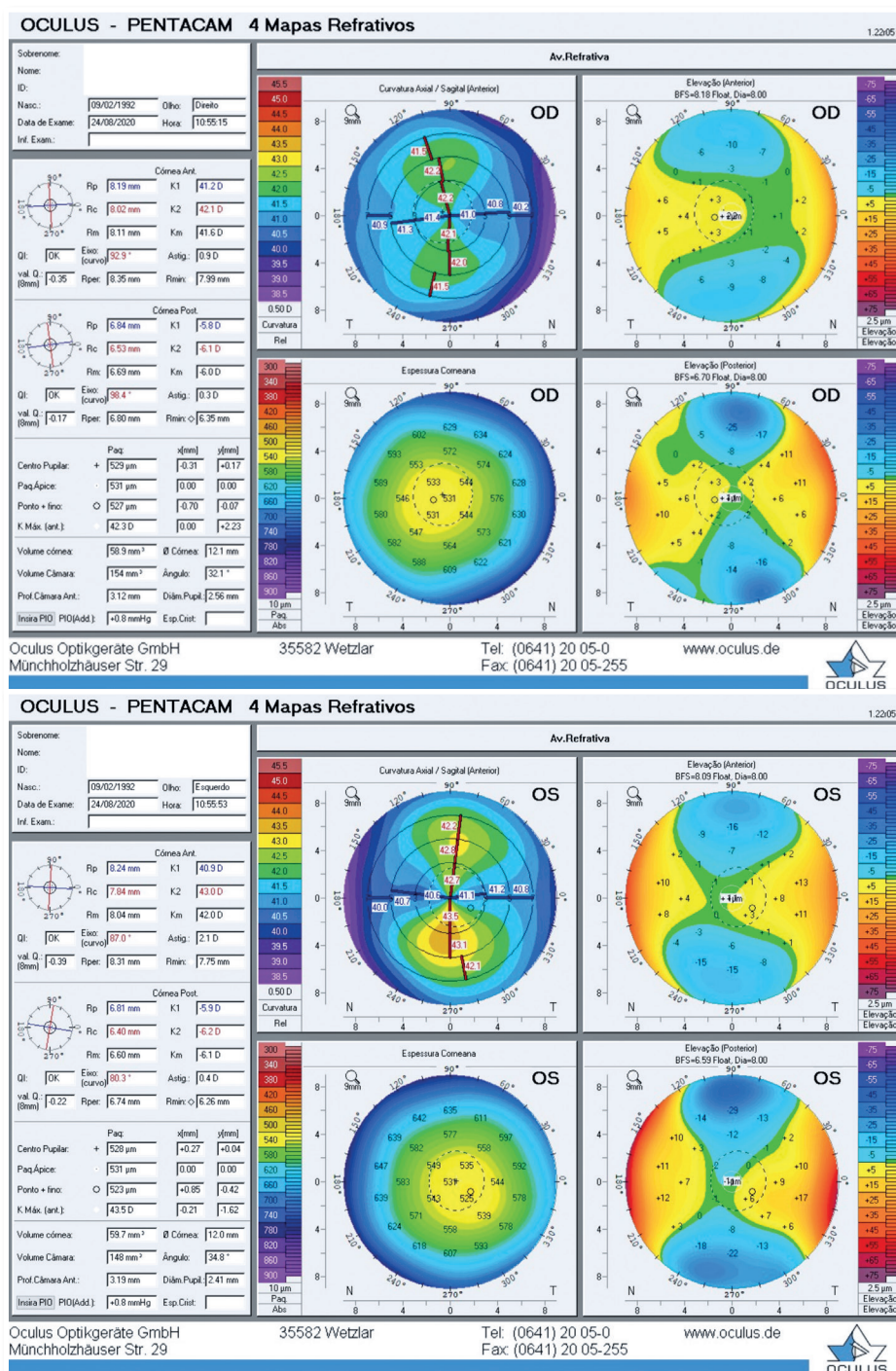
Moreover, there was an increase in the elevation of the posterior corneal surface, with values up to +28  $\mu$ m on the posterior elevation map, which reinforced the diagnosis of ectasia. The corneal thickness map showed thinning in the central-inferior region, with a TP of 486  $\mu$ m, consistent with the area of ectasia. None of these signs were found in the right eye (Figure 3).

The patient underwent corneal cross-linking (CXL) in the left eye in August 2023, with the aim of stabilizing the post-PRK corneal ectasia. The procedure was uneventful intraoperatively, and the postoperative course was satisfactory, with no com-

**Table 1.** Initially manifested refraction

Eye	Sphere	Cylinder	Axis	BCVA
OD	+0.75	-0.75	150	logMAR 0,0 (20/20)
OS	+1.00	-2.25	180	logMAR 0.0 (20/20)

OD: Right eye; OS: Left eye; BCVA: Best corrected visual acuity; MAR: Minimum angle of resolution.



**Figure 1.** Preoperative corneal tomographic maps. Preoperative corneal tomography for OD and OS.

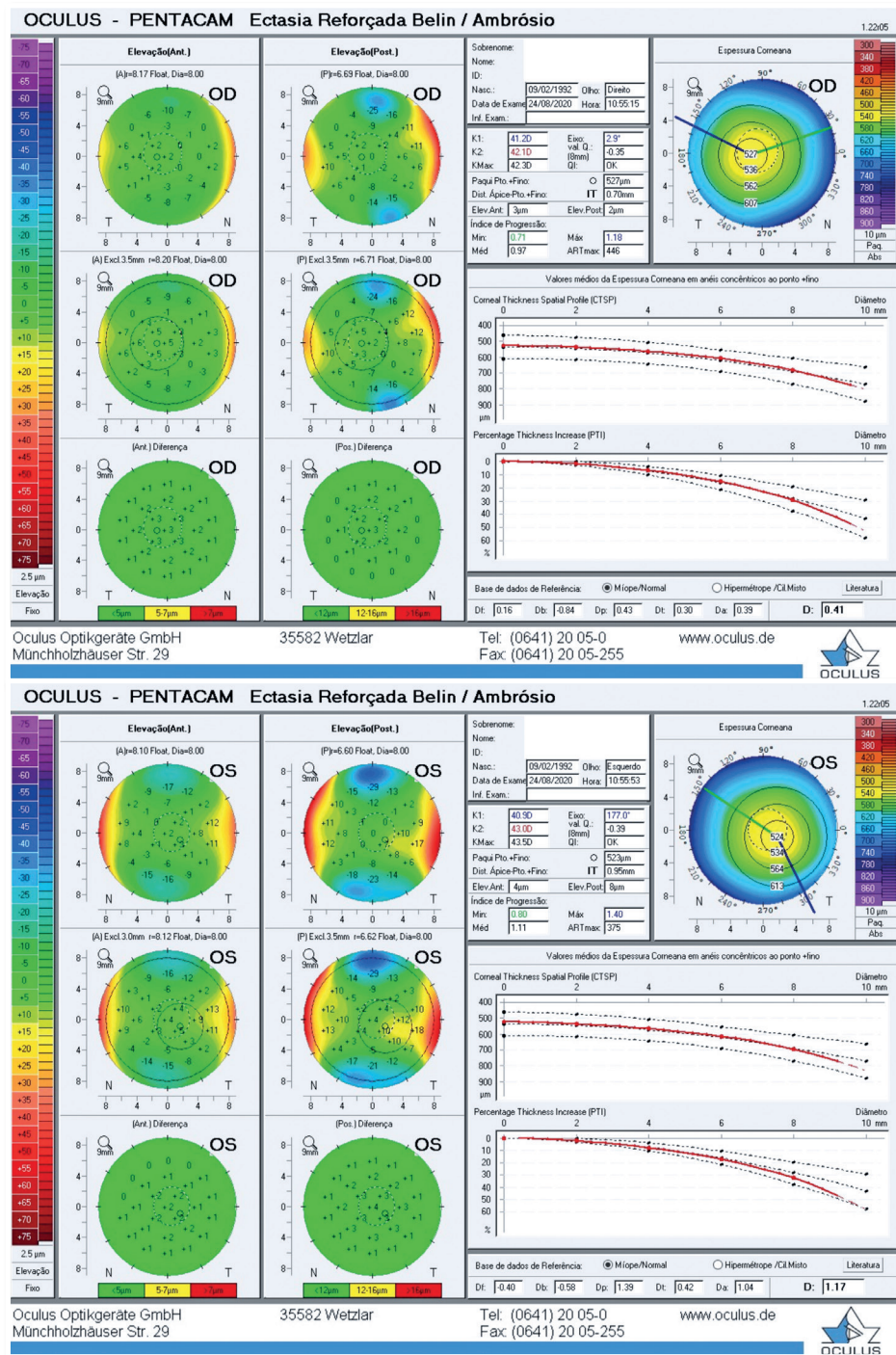
plications. At the 6-month follow-up, the corrected visual acuity in the left eye was 20/20, with a refraction of  $0.00-1.00 \times 180^\circ$ .

In March 2024, CT of the left eye was performed again to monitor the response to treatment. The scan

showed tomographic stability, with no evidence of ectasia progression.

The anterior axial curvature map showed a Kmax of 46.2 D, similar to the previous examination, with no expansion of the steepening area. The corneal thickness



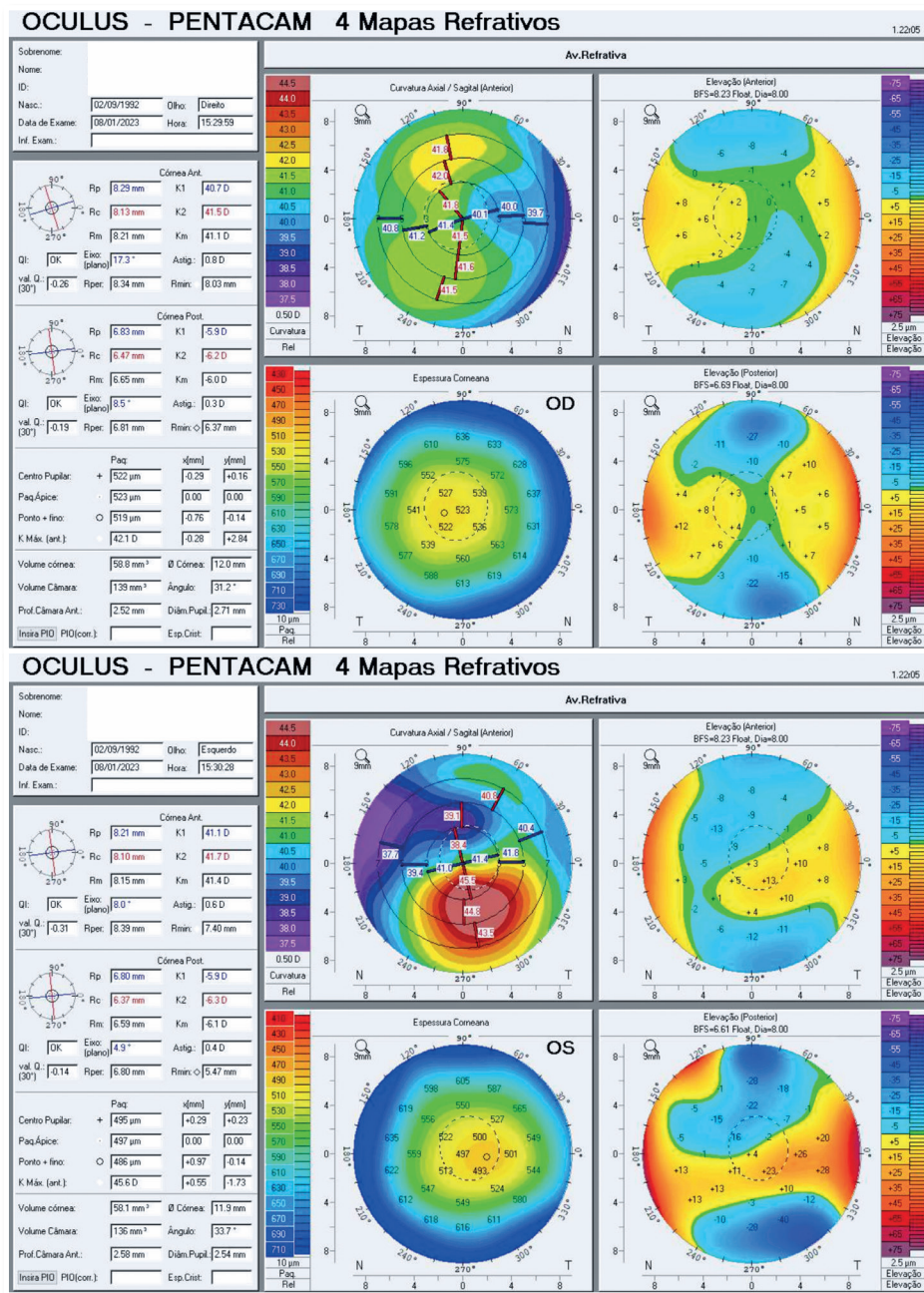


**Figure 2.** Tomographic maps – Preoperative Belin/Ambrósio Enhanced Ectasia Display (BAD) values. Preoperative corneal tomography (BAD) for OD and OS.

map showed a TP of  $471 \mu\text{m}$ , with preserved concentric distribution and no significant changes compared with the prior examination. On the posterior elevation map, there was no progression of corneal protrusion.

These findings are consistent with the efficacy of CXL, indicating biomechanical stabilization of the cornea and no progression of ectasia during the interval between examinations.





**Figure 3.** Postoperative corneal tomographic maps. Postoperative corneal tomography for OD and OS.

## DISCUSSION

Corneal ectasia after refractive surgery is an infrequent but significant complication, characterized by progressive thinning and protrusion of the cornea, leading to irregular astigmatism and loss of corrected visual acuity. Although more commonly associated with LASIK, its occurrence after PRK has been reported in isolated cases, indicating that factors other than flap creation may contribute to biomechanical

weakening of the cornea<sup>5,11</sup>. In this report, we describe a case of unilateral corneal ectasia in a 33-year-old patient that occurred 3 years after transepithelial PRK—a scenario that aligns with the rarity and complexity of a similar case of unilateral ectasia after bilateral PRK reported by AlShawabkeh et al.<sup>8</sup>. We underscore the need to better understand the predisposing factors and clinical implications of this condition, particularly in surface ablation procedures such

as PRK, which theoretically preserve more structural integrity than LASIK<sup>2</sup>.

The clinical presentation in this case (unilateral ectasia in one eye with no obvious signs of preoperative keratoconus) is consistent with findings from other reports in the literature. AlShawabkeh et al.<sup>8</sup> described a case of unilateral ectasia diagnosed 15 months after bilateral transepithelial PRK in a patient with a normal preoperative CT and no classic risk factors, such as reduced pachymetry or suspicious keratoconus indicators. Similarly, our patient had subtle tomographic asymmetry in the affected eye (BAD value of 1.17) but did not meet the diagnostic criteria for keratoconus before surgery. Another report by Alvani et al.<sup>1</sup> documented bilateral ectasia 7 years after PRK, with confocal microscopy revealing subtle changes in keratocyte density that suggested a pre-existing structural impairment not detected using conventional methods. The unilaterality observed in our case and in that of AlShawabkeh et al.<sup>8</sup> contrasts with the bilaterality reported by Alvani et al.<sup>1</sup>, indicating that local factors or individual biomechanical asymmetries may influence the manifestation of ectasia.

The etiology of post-PRK ectasia is multifactorial, involving an interaction between preoperative factors, surgical characteristics, and the intrinsic biomechanical properties of the cornea. The Ectasia Risk Score System, validated for LASIK by Randleman et al.<sup>12</sup>, identifies abnormal tomography, low residual stromal thickness, and thin pachymetry as the main predictors<sup>3,13-15</sup>. Although these criteria are less applicable to PRK because no flap is created, studies indicate that subtle tomographic asymmetries and thinner corneas may also increase the risk in surface ablation procedures<sup>2</sup>. In our case, the preoperative central pachymetry was adequate (528  $\mu$ m in the left eye), and the 30-micron ablation depth was within safe limits<sup>7</sup>. However, the pre-existing tomographic asymmetry, although below the threshold for suspicion, may have indicated a latent biomechanical vulnerability.

In addition to pachymetry and ablation depth, another factor considered in the preoperative assessment was the percentage tissue altered (PTA), a calculation used to estimate the risk of ectasia (especially in LASIK), where a PTA of <40% is generally considered safe<sup>2,4,6</sup>. PTA was calculated for our patient, and based on these values, LASIK surgery was initially considered because the results were well below this threshold. The calculations were as follows:

- PTA (right eye):  $(120 + 11.25) / 527 = 0.24$
- PTA (left eye):  $(120 + 30) / 523 = 0.28$

These results, both below 40%, indicated that the LASIK technique was a viable option for this patient. However, due to the presence of corneal asymmetry in the left eye, we opted for the PRK technique, which carries a lower risk of corneal ectasia compared with LASIK in borderline cases such as the present case<sup>5</sup>. This decision reflects a cautious approach, consistent with recommendations in the literature suggesting greater vigilance in patients with suspicious CT findings, even when other parameters, such as PTA, indicate low risk<sup>8</sup>. Despite this more conservative choice, the development of ectasia in the left eye suggests that PRK, although less invasive, does not completely eliminate the risk in corneas with underlying biomechanical fragility.

The literature indicates that some cases of post-PRK ectasia, even in the absence of identifiable risk factors, may represent the progression of previously undiagnosed subclinical keratoconus. A systematic review reported an incidence of up to 20 cases per 100,000 eyes undergoing PRK without apparent risk factors, reinforcing this hypothesis<sup>5</sup>. Confocal microscopy, which was used by Alvani et al.<sup>1</sup>, could have detected early structural changes but was not performed in our patient. Moreover, ablation depth and PTA, although within acceptable limits, may not fully capture the risk in patients with individual predispositions, such as biomechanical or metabolic alterations not detected using conventional tests<sup>8</sup>.

This case highlights the importance of a comprehensive preoperative assessment and prolonged monitoring after PRK, even in patients with no obvious risk factors. The presence of tomographic asymmetries, however subtle, should be considered a warning sign, as emphasized by Sorkin et al.<sup>2</sup>. Advanced tools, such as corneal biomechanical analysis (e.g., Corvis ST) and combined tomographic indices (e.g., BAD), have been identified as promising alternatives to improve risk screening, especially in borderline cases<sup>8</sup>. Moreover, corneal epithelial analysis (epithelial thickness mapping through high-resolution optical coherence tomography) increases the accuracy of preoperative screening; compensatory epithelial changes can mask early stromal irregularities and help elucidate astigmatism that is "unexplained" by tomography. Routine incorporation of this test in borderline cases could reduce false negatives in screening for subclinical ectasia<sup>16</sup>. However, although Corvis ST is a promising tool, its data are not yet fully understood,

and more studies are needed before it can be included in validated clinical protocols<sup>5,17</sup>. Furthermore, the occurrence of ectasia after a surface procedure challenges the perception that PRK is intrinsically safer than LASIK, suggesting that surgeons should adopt a cautious approach regardless of the technique<sup>5</sup>.

Treatment with CXL was successful in our patient, stabilizing the progression of ectasia, an outcome consistent with previous reports<sup>6,8</sup>. This reinforces the role of CXL as an effective intervention in cases of post-refractive ectasia but underscores the importance of prevention. Strategies such as the use of artificial intelligence for risk prediction, as suggested by AlShawabkeh et al.<sup>8</sup>, may represent the future of preoperative screening and help reduce the incidence of this complication.

Finally, in suspicious cases or when any abnormality is present on the initial CT that cannot be clinically explained, it is recommended to confirm the patient's refractive stability (i.e., no significant changes for at least 12 months) and repeat the CT scan at a subsequent visit before indicating surgery. This prudent approach supports the detection of subclinical progression and increases safety in candidate selection.

This report has limitations typical of a single case study, including the absence of preoperative biomechanical data and the lack of confocal microscopy results to assess cellular changes. A 3-year follow-up, although significant, may not be sufficient to exclude the risk of late ectasia in the contralateral eye, as observed in cases of asymmetric progression<sup>7</sup>. Moreover, the exact contribution of factors such as ablation depth or biomechanical properties remains speculative without more detailed analysis.

Future studies should prioritize the development of specific risk criteria for PRK as well as the integration of advanced imaging technologies and genetic biomarkers to identify vulnerable patients<sup>8</sup>. Longitudinal studies comparing LASIK and PRK could clarify differences in the incidence and mechanisms of ectasia, while trials evaluating the impact of prophylactic CXL in high-risk cases could offer new preventive strategies<sup>5</sup>.

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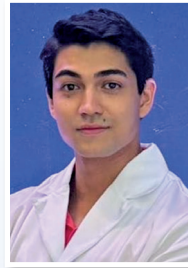
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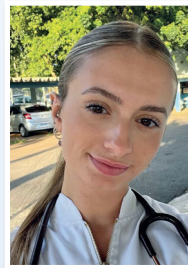
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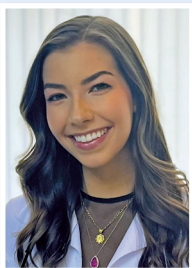
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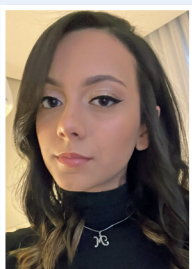
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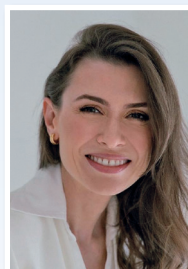
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