

# Association between asymmetrical corneal involvement and preferred sleeping position among keratoconus patients

## Associação da assimetria do acometimento corneano no ceratocone com a posição preferencial de dormir

### *Asociación de la asimetría del acometimiento corneano en el queratocono con la posición preferencial de dormir*

Mário Henrique Camargos de Lima - Hospital das Clínicas da Universidade de São Paulo, USP, São Paulo, SP, Brasil. [mariohcl@gmail.com](mailto:mariohcl@gmail.com)

Alex Roque Rizzi - Hospital das Clínicas da Universidade de São Paulo, USP, São Paulo, SP Brasil. [alex\\_rizzi06@hotmail.com](mailto:alex_rizzi06@hotmail.com)

Francisco Nepomuceno Neto - Hospital Banco de Olhos de Sorocaba, Sorocaba, SP, Brasil. [fonepo@hotmail.com](mailto:fonepo@hotmail.com)

Rosângela Aparecida Simoceli - Unidade de Cirurgia Ocular UNICO, São Paulo, SP, Brasil. [coi@mroftalmologia.com.br](mailto:coi@mroftalmologia.com.br)

Fernando Betty Cresta - Hospital das Clínicas da Universidade de São Paulo, USP, São Paulo, SP, Brasil. [fbcresta@yahoo.com](mailto:fbcresta@yahoo.com)

William Camargos de Lima - Faculdade de Medicina de Minas Gerais, Belo Horizonte, MG, Brasil. [williamcamargosdelima@gmail.com](mailto:williamcamargosdelima@gmail.com)

Gustavo Victor - Hospital das Clínicas da Universidade de São Paulo, USP, São Paulo, SP, Brasil. [gustavo.victor@eyeclinic.com.br](mailto:gustavo.victor@eyeclinic.com.br)

Milton Ruiz Alves - Hospital das Clínicas da Universidade de São Paulo, USP, São Paulo, SP, Brasil. [miltonruizcbo@gmail.com](mailto:miltonruizcbo@gmail.com)

#### ABSTRACT

**Objective:** To determine whether there is an association between corneal affection asymmetry in keratoconus and preferred sleeping position. **Methods:** A prospective clinical study was performed. All participants answered a questionnaire to report their preferred sleeping position. Regardless of the decubitus (lateral or ventral), the eye that was positioned inferiorly during sleep was classified as the "dependent eye", and the eye positioned superiorly was classified as the "non-dependent eye". **Results:** This study indicated greater topographic changes in the dependent eyes of keratoconus carriers; this finding may be associated with the patient's sleeping position. Regardless of the right or left lateral decubitus positions, greater topographic abnormalities were observed in the corneas of the dependent eye. This finding may be explained by the occurrence of mechanical traumas during sleep and may be secondary to the preferred sleeping position. **Conclusion:** In the treatment of keratoconus, it is essential to identify and reduce the risk factors associated with disease progression. Considering the fact that humans spend a considerable amount of time sleeping, keratoconus patients may benefit from clarifications on position preferences and sleeping habits.

#### Keywords:

Cornea;  
Sleep;  
Keratoconus

#### Palavras-Chave:

Córnea;  
Sono;  
Ceratocône

#### Palabras Clave:

Córnea;  
Sueño;  
Queratocono

**Funding source:** None

**CEP Approval:** Not applicable

**Conflicts of interest:** None

**Received on:** October 24, 2016

**Approved on:** November 24, 2016

**Published on:** March 3, 2017

#### RESUMO

**Objetivo:** Verificar se há associação da assimetria do acometimento corneano no ceratocone com a posição preferencial de dormir. **Métodos:** Realizou-se estudo clínico prospectivo. Todos os participantes foram submetidos a questionário para avaliação da posição preferencial de dormir. Classificou-se como "olho dependente" o olho posicionado inferiormente durante o sono, independentemente do decúbito (lateral ou ventral), e de "olho não dependente" o olho posicionado superiormente, tanto em decúbito lateral quanto ventral. **Resultados:** O presente estudo mostrou maiores alterações topográficas nos "olhos dependentes" de portadores de ceratocone e que podem estar relacionadas com a posição de dormir. Independentemente das posições de decúbito lateral direita ou esquerda observou-se maior alteração topográfica da córnea no "olho dependente" com ceratocone e este achado poderia ser explicado pela ocorrência de traumas mecânicos originados durante o sono e secundários à posição preferencial de dormir. **Conclusão:** É fundamental que na orientação ao paciente com ceratocone sejam identificados e reduzidos os efeitos de fatores de risco associados com a evolução da doença. Considerando-se que passamos grande parte de nossas vidas dormindo, esclarecimentos sobre preferências de posições e cuidados ao dormir poderão ser benéficos para os pacientes portadores de ceratocone.

#### RESUMEN

**Objetivo:** Verificar se hay asociación de la asimetría del acometimiento corneano en el queratocono con la posición preferencial de dormir. **Métodos:** Se ha realizado un estudio clínico prospectivo. Todos los participantes se sometieron a un cuestionario para evaluación de la posición preferencial de dormir. Se ha clasificado como «ojo dependiente» el ojo posicionado desventajosamente durante el sueño, independientemente del decúbito (lateral o ventral), y de «ojo no dependiente» el ojo posicionado superiormente, tanto en decúbito lateral cuanto ventral. **Resultados:** El presente estudio mostró alteraciones topográficas más intensas en los «ojos dependientes» de portadores de queratocono y que pueden estar relacionadas con la posición de dormir. Independientemente de las posiciones de decúbito lateral derecha o izquierda, se ha observado mayor alteración topográfica de la córnea en el «ojo dependiente» con queratocono y este hallazgo podría explicarse por la ocurrencia de traumas mecánicos originados durante el sueño y secundarios a la posición preferencial de dormir. **Conclusión:** Es fundamental que en la orientación al paciente con queratocono se identifiquen y reduzcan los efectos de factores de riesgo asociados con la evolución de la enfermedad. Considerándose que pasamos gran parte de nuestras vidas durmiendo, aclaraciones sobre preferencias de posiciones y cuidados al dormir podrán ser benéficos para los pacientes portadores de queratocono.

## INTRODUCTION

Keratoconus is a bilateral ectatic disease that evolves with stroma thinning and progressive protrusion of the cornea, resulting in irregular astigmatism, low vision, and visual distortion secondary to high-order aberrations <sup>1</sup>. The disease progresses slowly, and its estimated incidence varies according to the population studied and diagnostic criteria used. Most estimates range from 50 to 230 cases per 100,000 individuals in the general population <sup>1,2</sup>.

The etiology of keratoconus has been the subject of numerous studies; it is accepted that genetic predisposition is accompanied by environmental factors <sup>1,2,3</sup>. Recently, the non-inflammatory nature of the disease has been questioned<sup>3</sup> The act of scratching the eye contributes to the pathogenesis of keratoconus <sup>4</sup>.

Due to the viscoelastic characteristics of the cornea, changes in intraocular pressure (IOP) are one of the factors suspected of contributing to the development of corneal ectasias<sup>5</sup>. Acute events, such as perforation and hydropsy, may also be associated with pressure variations <sup>5</sup>.

Variations in IOP variation during changes in sleeping position is a well-established fact in the literature that has been exhaustively analyzed in anesthesiology research. These studies report that increases in IOP may lead to complications involving ocular perfusion in prolonged ventral decubitus <sup>6,7,8,9</sup>.

Humans generally spend one-quarter to one-third of their lives asleep, and changes in sleeping position are associated with changes in IOP during sleep. Kim et al. <sup>10</sup> interviewed 692 patients with open-angle glaucoma using a questionnaire that determined the preferred sleeping position and its relation to asymmetrical visual field loss. There are also reports of a higher prevalence of keratoconus in patients with sleep apnea <sup>11</sup>.

The present study sought to verify the presence of an association between asymmetry of corneal involvement in keratoconus and preferred sleeping position.

## METHODS

A prospective clinical study was approved by the Research Ethics Committee of the Padre Bento Hospital Center in Guarulhos, São Paulo State, Brazil. All subjects signed an informed consent form; when patients were minors, the form was signed by a parent or guardian. The study was performed in the Ophthalmology Department of the Padre Bento Center from January to December 2014.

The study population consisted of 60 individuals over 10 years of age with a topographic diagnosis of keratoconus. The participants included in the study had keratoconus classified according to its topography as grade II (moderate, between 45.00 and 52.00 D), grade III (advanced, between 52.00 and 62.00 D), or grade IV (intense, greater than 62.00 D). Patients with inflammatory and/or infectious ocular conditions and those with a history of ocular surgery were excluded.

All participants answered the questionnaire proposed by Kim et al. <sup>9</sup> for assessing their preferred sleeping position [Figura 1](#). Regardless of the position (lateral or ventral), the eye that was positioned inferiorly during sleep was classified as the “dependent eye”, and the eye positioned superiorly was classified as the “non-dependent eye”.

Bilateral corneal topography was performed on all participants using the EyeSys® System 2000. Axial and tangential maps were obtained on a 0.50 D scale. Differences greater than 1 D in the mean keratometry values were used to indicate the eye with the greatest involvement (asymmetry). The presence of sleep apnea was also assessed.

The mean values of the keratometric measurements of the eyes with the lowest and the highest involvement were compared using a paired t-test (quantitative variables). The influence of right lateral, left lateral, and ventral decubitus, as well as of the preferred sleeping position on the onset of asymmetry in the dependent and non-dependent eyes, were assessed using the chi-squared test (qualitative variables). All statistical analyses were performed using SPSS software, version 18.0 (SPSS Inc., Chicago, IL, USA). A p-value < 0.05 was adopted as the level of statistical significance.

## RESULTS

The study population consisted of 60 individuals (58% female and 42% male). All of the participants were found to have a preferred sleeping position. Lateral decubitus was preferred by 67% of the participants. Topographic asymmetries were observed between the eyes in 93.3% of the participants (Table 1).

Table 1. Preferred sleeping position, topographic asymmetry, and most highly affected eye, São Paulo, Brazil, 2014.

|   |                                  | n  | %      |
|---|----------------------------------|----|--------|
| Do you have a preferred sleeping position or position for lying down? | Yes                              | 60 | 100.0% |
| What position do you prefer?  | Right lateral decubitus position | 23 | 38.3%  |
|   | Left lateral decubitus position  | 17 | 28.3%  |
|   | Supine                           | 1  | 1.7%   |
|   | Right ventral decubitus          | 8  | 13.3%  |
|   | Left ventral decubitus           | 5  | 8.3%   |
|   | Both lateral decubitus positions | 6  | 10.0%  |
| Is the corneal involvement asymmetric?                                | Yes                              | 56 | 93.3%  |
|   | No                               | 4  | 6.7%   |
| If so, which eye is most affected by keratoconus?                     | Right eye                        | 27 | 48.2%  |
|   | Left eye                         | 29 | 51.8%  |

The keratometric means of the eyes with the lowest involvement was significantly lower than those of the eyes with the greatest involvement ( $p$ -value < 0.001; Table 2).

Table 2 - Comparison between the keratometric means of the eyes with the lowest and highest involvement. São Paulo, Brazil, 2014.

| Keratometric Measurement         | n  | Mean  | Standard Deviation | Mean Standard Error |
|----------------------------------|----|-------|--------------------|---------------------|
| Eye with the lowest involvement  | 56 | 48.46 | 5.48               | 0.73                |
| Eye with the highest involvement | 56 | 52.60 | 6.32               | 0.84                |

$p$ -value < 0.001 (paired t-test)

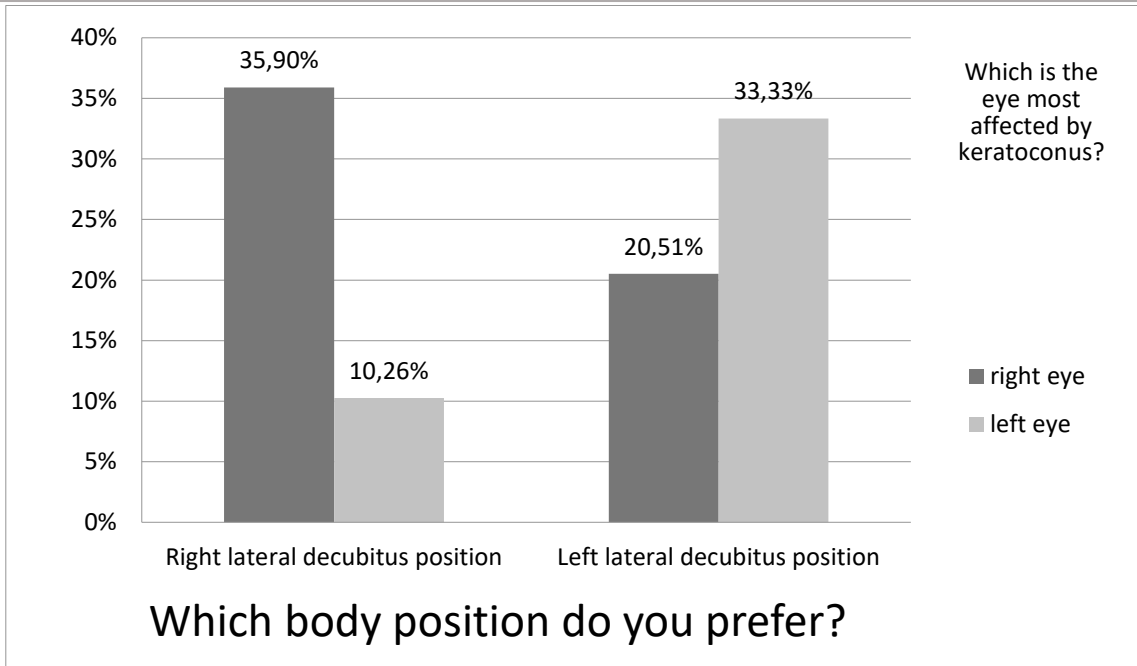
There was a significant association between lateral decubitus and the most affected eye, which was also classified as the dependent eye ( $p = 0.014$ ; Table 3).

Table 3 - Comparison between lateral decubitus and corneal involvement. São Paulo, Brazil, 2014

| Preferred sleeping position: lateral decubitus | Eye with the greatest involvement (n; %) |          | Total |
|--|--|----------|-------|
|  | Right                                    | Left     |       |
| Right lateral decubitus                        | 14; 63.6                                 | 8; 36.4  | 22    |
| Left lateral decubitus                         | 4; 23.5                                  | 13; 76.5 | 17    |

$p$ -value = 0.014 (chi-squared test)

Graph 1 shows a higher involvement of the dependent eye in the right or left lateral decubitus position.



Graph 1 - Position of lateral decubitus and eye with the greatest involvement. São Paulo, Brazil, 2014

There was no significant association between ventral and lateral decubitus positions and greater involvement of the dependent eye ( $p = 0.452$ ; Table 4).

Table 4 - Comparison between lateral decubitus and ventral decubitus on corneal involvement. São Paulo, Brazil, 2014

| Preferred sleeping position: lateral and ventral decubitus | Eye with the greatest involvement (n; %) |         | Total |
|--|--|---------|-------|
|  | Right                                    | Left    |       |
| Right lateral decubitus                                    | 14; 63.6                                 | 8; 36.4 | 22    |
| Right ventral decubitus                                    | 6; 75                                    | 2; 25   | 8     |

$p$ -value = 0.452 (chi-squared test)

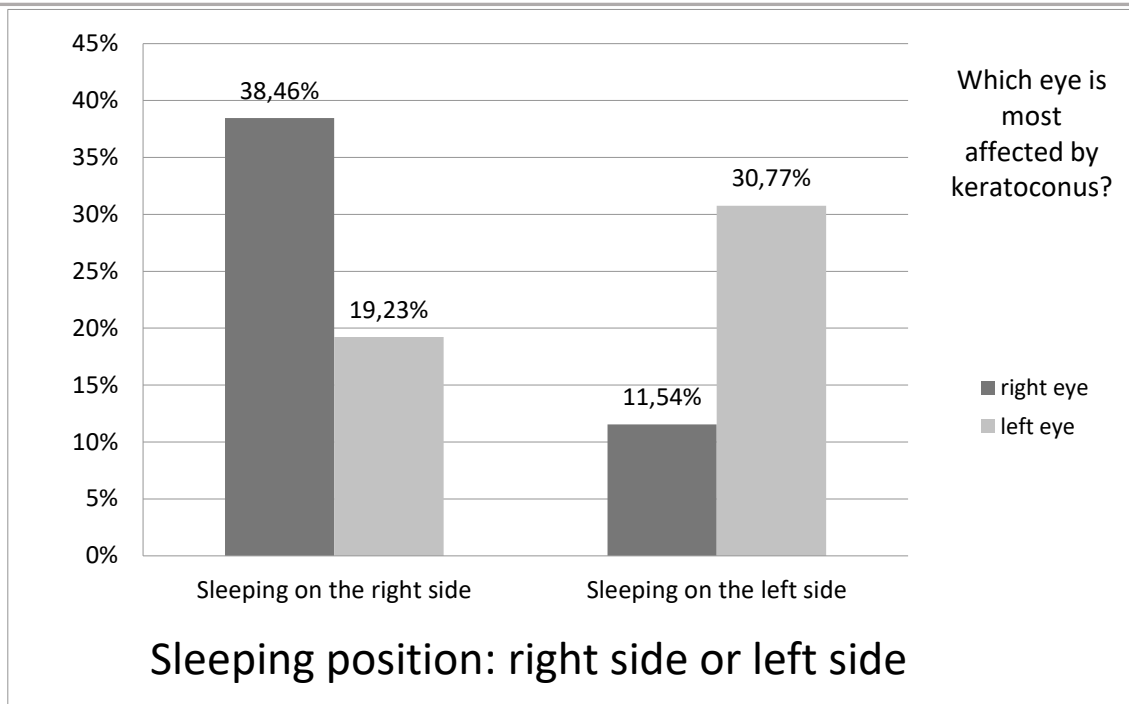
The comparison between lateral decubitus, regardless of the decubitus side, showed a consistent association with higher involvement of the dependent eye ( $p = 0.005$ ; Table 5).

Table 5 - Corneal involvement involving the decubitus side, regardless of the type of decubitus. São Paulo, Brazil, 2014

| Preferred sleeping position: right or left side | Eye with the greatest involvement (n; %) |          | Total |
|---|--|----------|-------|
|   | Right                                    | Left     |       |
| Right lateral decubitus                         | 20; 66.7                                 | 10; 33.3 | 30    |
| Left lateral decubitus                          | 6; 27.3                                  | 16; 72.7 | 22    |

$p$ -value = 0.005 (chi-squared test)

Chart 2 illustrates the greater involvement of the dependent eye in the right-side and left-side sleeping position.



In the study sample, no patient presented sleep apnea as comorbidity.

## DISCUSSION

The presence of microtraumas is a determinant factor for the evolution of keratoconus. Pruritus (eye allergy) and eye scratching have been consistently associated with disease progression <sup>12</sup>. Certain sleeping positions lead to changes in IOP <sup>6</sup> and sleeping position has been found to be associated with asymmetric visual field loss in patients with primary open-angle glaucoma <sup>9</sup>.

The present study showed greater topographic changes in the dependent eyes with keratoconus; these changes may be associated with the patient's sleeping position. In the right and left lateral decubitus positions, greater corneal deformation was observed in the dependent eye with keratoconus; this finding could be a response to mechanical traumas originating during sleep and secondary to the preferred sleeping position.

In the treatment of keratoconus, it is paramount to identify and reduce the risk factors associated with disease progression. The recommendation to avoid scratching the eyes has been widely publicized and is already included in the routine medical recommendations for these patients.<sup>4</sup> However, the present study raises a new risk factor for the evolution of keratoconus. Considering the fact that humans spend a considerable amount of time sleeping, clarifications on position preferences and sleeping habits may be beneficial for patients with keratoconus. The creation of devices to be used by these patients during sleep would be welcomed if they can minimize the risk of microtrauma to the eye during sleep.

Prospective clinical studies with follow-up on keratoconus patients are necessary to reinforce the validity of the present findings.

## REFERENCES

1. ▲ ▲ ▲ Rabinowitz YS. Keratoconus. *Surv Ophthalmol.* 1998;42(4):297-319. [http://dx.doi.org/10.1016/S0039-6257\(97\)00119-7](http://dx.doi.org/10.1016/S0039-6257(97)00119-7)
2. ▲ ▲ ▲ Jhanji V, Sharma N, Vajpayee RB. Management of keratoconus: current scenario. *Br J Ophthalmol.* 2011;95(8):1044-50. <http://dx.doi.org/10.1136/bjo.2010.185868>
3. ▲ ▲ ▲ Sugar J, Macsai MS. What causes keratoconus? *Cornea.* 2012;31:716-9. <http://dx.doi.org/10.1097/ICO.0b013e31823f8c72>
4. ▲ ▲ ▲ Korb DR, Leahy CD, Greiner JV. Prevalence and characteristics of eye rubbing for keratoconic and non-keratoconic subjects. *Invest Ophthalmol Vis Sci.* 1991;32 Suppl:884
5. ▲ ▲ ▲ McMonnies CW. The possible significance of the baropathic nature of keratectasias. *Clin Exp Optom.* 2013; 96:197-200. <https://doi.org/10.1111/j.1444-0938.2012.00726.x>

6. ▲ ▲ Walick KS, Kragh JE Jr, Ward JA, Crawford JJ. Changes in intraocular pressure due to surgical positioning studying potential risk for postoperative vision loss. *Spin*. 2007;32:2591-5
7. ▲ Abraham M, Sakhuja N, Sinha S, Rastogi S. Unilateral visual loss after cervical spine surgery. *J Neurosurg Anesthesiol*. 2003;15:319-22. <http://dx.doi.org/10.1097/00008506-200310000-0000>
8. ▲ Lee L, Lam A. Unilateral blindness after prone lumbar spine surgery. *Anesthesiology*. 2001;95:793-5. Disponível em: <http://anesthesiology.pubs.asahq.org/article.aspx?articleid=1944802>
9. ▲ ▲ ▲ Ozcan MS, Praetel C, Bhatti MT, Gravenstein N, Mahla ME, Seubert CN. The effect of body inclination during prone positioning on intraocular pressure in awake volunteers: a comparison of two operating tables. *Anesth Analg*. 2004;99:1152-8. <http://dx.doi.org/10.1213/01.ANE.0000130851.37039.50>
10. ▲ Kim KN, Jeoung JW, Park KH, Kim DM, Ritch R. Relationship between preferred sleeping position and asymmetric visual-field loss in open-angle glaucoma patients. *Am J Ophthalmol*. 2014;157(3):739-45. <http://dx.doi.org/10.1016/j.ajo.2013.12.016>
11. ▲ Gupta PK, Stinnett SS, Carlson AN. Prevalence of sleep apnea in patients with keratoconus. *Cornea*. 2012;31:595-9. <http://dx.doi.org/10.1097/ICO.0b013e31823f8acd>
12. ▲ McMonnies CW. Mechanisms of rubbing-related corneal trauma in keratoconus. *Cornea*. 2009;28:607-15. <http://dx.doi.org/10.1097/ICO.0b013e318198384f>






| Questionário sobre a posição de dormir dos pacientes com ceratocone                                   |   |
|---|---|
| Data _____  | Local da pesquisa _____   |
| Nome _____  | idade (anos) _____ prontuário _____   |
| <b>PARTE I</b>  |   |
| 1) Você tem uma posição (deitada) preferida quando você está dormindo?                                |   |
| ( ) SIM ( ) NÃO   |   |
| <b>PARTE II</b>   |   |
| Se sua resposta a questão 1 é sim:  |   |
| 2) Qual a posição do corpo que você prefere?  |  |
| a) posição de decúbito lateral direito<br>(deitado sobre o lado direito)                              |  |
| b) Posição de decúbito lateral esquerdo<br>(deitado sobre o lado esquerdo)                            |  |
| c) Decúbito dorsal (deitado de costas)  |  |
| d) Decúbito ventral (deitado de barriga para baixo)   |  |
| e) Ambas as posições de decúbito lateral<br>(deitado do lado direito ou do esquerdo, em alternância)  |   |
| <b>PARTE III - Preenchida pelo médico baseando-se na topografia corneana e achados oftalmológicos</b> |   |
| 3) Há assimetria do acometimento corneano pelo ceratocone?  |   |
| ( ) SIM ( ) NÃO   |   |
| 4) Se sim, qual o olho com o ceratocone mais avançado?  |   |
| ( ) Olho direito ( ) Olho esquerdo  |   |
| KRT OD _____ X _____  | KRT OE _____ x _____  |
| 5) O paciente apresenta apneia do sono?   |   |
| ( ) SIM ( ) NÃO ( ) NÃO SABE  |   |
| PESQUISADOR _____   |   |

Figura 1. Questionnaire for evaluation of sleeping position, presence of asymmetry of corneal involvement by keratoconus, more advanced keratoconus and apnea.



**Mário Henrique Camargos de Lima**

<http://orcid.org/0000-0002-8943-3763>

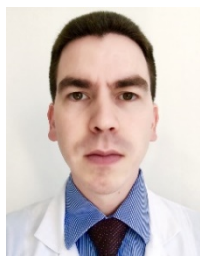
<http://lattes.cnpq.br/6321507956464099>



**Alex Roque Rizzi**

<http://orcid.org/0000-0003-2214-2475>

<http://lattes.cnpq.br/2270530999428871>



**Francisco Nepomuceno Neto**

<http://orcid.org/0000-0003-3927-9531>

<http://lattes.cnpq.br/0672578283668831>



**Rosângela Aparecida Simoceli**

<http://orcid.org/0000-0001-5139-0940>

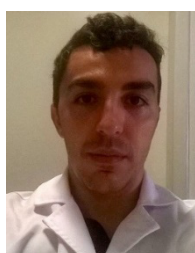
<http://lattes.cnpq.br/4696602105678116>



**Fernando Betty Cresta**

<http://orcid.org/0000-0001-8754-5908>

<http://lattes.cnpq.br/8728631478677729>



**William Camargos de Lima**

<http://orcid.org/0000-0003-1326-5510>

<http://lattes.cnpq.br/6889687174762319>



**Gustavo Victor**

<http://orcid.org/0000-0003-3241-2846>

<http://lattes.cnpq.br/4851190387659602>



**Milton Ruiz Alves**

<http://orcid.org/0000-0001-6759-5289>

<http://lattes.cnpq.br/6210321951145266>

Patronos CBO 2017

